

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.			
Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>			
		Complete if Known	
		Application Number	Unassigned
		Filing Date	Unassigned
		First Named Inventor	Yoshio KISHIMOTO et al.
		Group Art Unit	Unassigned
		Examiner Name	Unassigned
		Attorney Docket Number	740819-727
Sheet	1	of	1

[illegible][illegible]

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

Examiner Signature	Phan T.H. Palmer	Date Considered	06/30/2003
-----------------------	------------------	--------------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Commissioner for Patents, Washington, DC 20231.

Form PTO-1449
(Rev. 8-83)

U.S. Department of Commerce
Patent and Trademark Office

Atty Docket 0819-0727

Serial No. 10/026,804

INFORMATION DISCLOSURE STATEMENT

Applicants: Yoshio KISHIMOTO et al.

Filing Date: December 27, 2001

Group Art Unit: 2874
Unassigned

FEB 15 2002

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation
						Yes No
PTMP	08-264748	10/11/1996	JP			Eng Abst
PTMP	09-251113	09/22/1997	JP			Eng Abst

OTHER DOCUMENT

(Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Initial	
PTMP	Specifications for US Patent Application Serial No. 09/804,072, "Optical Module and Method for Producing the Same, and Optical Circuit Device"

RECEIVED
MAR 1 2002
TECH CENTER 2800

RECEIVED
MAR 06 2002
TECH CENTER 2800

Examiner *Phan V. H. Palmer*

Date Considered *06/07/2003*

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.